

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 13:51:51

2. **Agency:** 016

3. **Bureau:** 00

4. **Name of this Investment:** Financial Accounting System (FACTS)

5. **Unique Project (Investment) Identifier:** 016-00-01-01-01-2015-00

6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB? ***

8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

This effort provides for the operation and maintenance of SSA's accounting system, the Social Security Online Accounting & Reporting System (SSOARS). SSOARS is SSA's centralized automated system for recording, classifying and summarizing information on SSA's financial position and operation. It maintains a variety of financial information including, but not limited to, funding data, commitments, obligations, receivables and payables. The objective of SSOARS is to maintain the financial records of SSA. These records include the general ledger, subsidiary ledgers for control and reporting, obligation, advance and receivable subsidiary records, fund availability status, audit trail of accounting activity and the payment and collection records for cash reconciliation. In addition, SSOARS is SSA's financial management system that consolidates all of SSA's administrative accounting, payment and collection activities. SSOARS detects and prevents improper payments and improving debt management. The Agency has determined that SSA's financial management systems are in substantial compliance with the Federal Financial Management Improvement Act (FFMIA). These findings are based on the positive results of financial management systems reviews and management control reviews conducted by the Agency and its independent contractor. SSA has no Anti-Deficiency Act Violations or material auditor-reported internal control weaknesses, and the independent auditors found that SSA has no material non-compliance with laws or regulations. The implementation of SSOARS has allowed SSA to achieve labor efficiencies and cost savings by utilizing modern, state-of-the-art software. By interfacing feeder systems with SSOARS, transactions are validated against accounting reference files to reduce errors and eliminate time to research and correct erroneous transactions. SSOARS is fully integrated with several internal and external administrative systems. SSOARS uses the latest Oracle application software to take advantage of Oracle functionality to ensure data integrity and security. SSOARS has received a clean audit report from the independent auditors since it became SSA's system of record. To streamline processing and achieve operational efficiencies, SSOARS uses the latest version of Oracle's database software and technology to provide detailed financial data to all managers to make spending decisions on a timely basis.

- a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**

9. Did the Agency’s Executive/Investment Committee approve this request? *

a. If "yes," what was the date of this approval? *

10. Contact information of Program/Project Manager?

- Name: *
- Phone Number: *
- Email: *

11. What project management qualifications does the Project Manager have? (per FAC-P/PM)? *

- Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one): *

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES (REPORTED IN MILLIONS) (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1. **Table 1: Contracts/Task Orders Table**

Contract or Task Order Number	Type of Contract/ Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/ Task Order	End date of Contract/ Task Order	Total Value of Contract/ Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
SS00-09-30428/GS-35F-454 3G	Firm Fixed Price (FFP)	Y	2009-02-13	2009-02-16	2009-12-31	\$0.7	*	*	*	*	*
SS00-09-40036/0006	Labor Hours	Y	2009-09-15	2009-10-01	2010-09-30	\$0.4	*	*	*	*	*
SS00-09-40036/0008	Firm Fixed Price	Y	2009-09-30	2009-10-01	2010-09-30	\$0.2	*	*	*	*	*
SS00-0940036/0009	Labor Hours	Y	2009-09-30	2009-10-01	2010-09-30	\$0.1	*	*	*	*	*
SS00-09-40051/0001	Firm Fixed Price	Y	2009-09-30	2009-10-01	2010-09-30	\$0.2	*	*	*	*	*
SS00-09-30065/GS-35F-0009T	Labor Hours	Y	2008-10-24	2008-10-27	2009-10-26	\$5.5	*	*	*	*	*
SS00-09-30591/GS35F4357 D	Firm Fixed Price (FFP)	Y	2009-04-02	2009-04-06	2010-01-05	\$0.3	*	*	*	*	*
SS00-09-30525/W91QUZ-07-A-0001	Firm Fixed Price (FFP)	Y	2009-04-10	2009-04-17	2010-04-16	\$0.8	*	*	*	*	*
SS00-09-40036/0001	Labor Hours	Y	2009-09-15	2009-10-01	2010-09-30	\$0.4	*	*	*	*	*
SS00-09-40036	Firm Fixed Price	Y	2009-09-15	2009-10-01	2010-09-30	\$0.2	*	*	*	*	*
SS00-09-40036/0003	Labor Hours	Y	2009-09-15	2009-10-01	2010-09-30	\$0.8	*	*	*	*	*
SS00-09-40036/0004	Labor Hours	Y	2009-09-15	2009-10-01	2010-09-30	\$0.4	*	*	*	*	*
SS00-09-40036/0005	Labor Hours	Y	2009-09-15	2009-10-01	2010-09-30	\$0.5	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? *

a. If "yes," what is the date? *

Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2009	Preserve the Public's Trust in Our Programs	*	*	Number of hours which the system was actually available divided by the total number of hours which the system should be available.	98%	98%	System was available 98% of the time
2011	Preserve the Public's Trust in Our Programs	*	*	Number of hours which the system was actually available divided by the total number of hours which the system should be available.	98%	98%	Results will be available in the First Quarter, FY2012.
2010	Preserve the Public's Trust in Our Programs	*	*	Number of hours which the system was actually available divided by the total number of hours which the system should be available.	98%	98%	Results will be available in the first Quarter, FY2011.
2009	Preserve the Public's Trust in Our Programs	*	*	Receive an unqualified opinion on SSA's financial statements.	FY 2008 Actual - Received an unqualified opinion.	Receive an unqualified opinion	Received an unqualified opinion
2010	Preserve the Public's Trust in Our Programs	*	*	Receive an unqualified opinion on SSA's financial statements.	FY 2008 Actual - Received an unqualified opinion.	Receive an unqualified opinion	Actual results will be available in FY 2011
2011	Preserve the Public's Trust in Our Programs	*	*	Receive an unqualified opinion on SSA's financial statements.	FY 2008 Actual - Received an unqualified opinion.	Receive an unqualified opinion.	Actual results will be available in FY 2012.
2009	Preserve the Public's Trust in Our Programs	*	*	Number of high security incidents and vulnerabilities successfully resolved divided by the total number of high security incidents and vulnerabilities reported	100%	100%	100% - there were no security incidents reported.
2010	Preserve the Public's Trust in Our Programs	*	*	Number of high security incidents and vulnerabilities	100%	100%	Results will be available in the first Quarter, FY2011.

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
				successfully resolved divided by the total number of high security incidents and vulnerabilities reported			
2011	Preserve the Public's Trust in Our Programs	*	*	Number of high security incidents and vulnerabilities successfully resolved divided by the total number of high security incidents and vulnerabilities reported	100%	100%	Results will be available in the first Quarter, FY2012.
2009	Preserve the Public's Trust in Our Programs	*	*	Number of approved requests for system access completed within a 36-hour period divided by the total number of system access requests received	100%	100%	100% - all user system access requests were completed within a 36-hour period.
2010	Preserve the Public's Trust in Our Programs	*	*	Number of approved requests for system access completed within a 36-hour period divided by the total number of system access requests received	100%	100%	Results will be available in the first Quarter, FY2011.
2011	Preserve the Public's Trust in Our Programs	*	*	Number of approved requests for system access completed within a 36-hour period divided by the total number of system access requests received	100%	100%	Results will be available in the First Quarter, FY 2012.

Part III: For "Operation and Maintenance" investments ONLY (Steady State)

Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FY09 - Services-Support	\$8.7	\$8.7	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY10 - Services - Maintenance & Support	\$7.7	\$5.8	2009-10-01	2009-10-01	2010-09-30		74.00%	74.00%
FY10 - Hardware	\$0.6	\$0.0	2009-10-01	2009-10-01	2010-09-30		0.00%	0.00%
FY10 - IV&V Support	\$0.5	\$0.1	2009-10-01	2009-10-01	2010-09-30		16.00%	16.00%
FY10 - Software	\$0.9	\$0.0	2009-10-01	2009-10-01	2010-09-30		5.00%	5.00%
FY10 - Government FTE's	\$0.7	\$0.5	2009-10-01	2009-10-01	2010-09-30		72.00%	72.00%
FY10 - Management	\$0.0	\$0.0	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
FY10 - non-DCS Support	\$0.9	\$0.4	2009-10-01	2009-10-01	2010-09-30		50.00%	50.00%
FY11 - FACTS	*	*	2010-10-01		2011-09-30		0.00%	0.00%
FY12 - FACTS	*	*	2011-10-01		2012-09-30		0.00%	0.00%
FY13 - FACTS	*	*	2012-10-01		2013-09-30		0.00%	0.00%
FY09 - Services-Release 12	\$0.5	\$0.5	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY14 - FACTS	*	*	2013-10-01		2014-09-30		0.00%	0.00%
FY15 - FACTS	*	*	2014-10-01		2015-09-30		0.00%	0.00%
FY09 - Independent Verification and Validation	\$0.3	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-04-10	100.00%	100.00%
FY09 - Hardware	\$0.3	\$0.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Software	\$1.1	\$1.1	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Application Data Base Administrators	\$0.8	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 - Government FTEs	\$1.0	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
FY09 -	\$0.2	\$0.2	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Management								
FY09 - non-DCS Support	\$0.8	\$0.8	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

* - Indicates data is redacted.